EXHIBIT 42

Generated on: This page was generated by TSDR on 2020-09-30 17:50:51 EDT

Mark: CREDIT SUISSE FIRST BOSTON

Credit Suisse First Boston

US Serial Number: 88568392 Application Filing Aug. 06, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

wark Type: Service Mark

TM5 Common Status
Descriptor:

DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

and this application is no longer active

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 02, 2020 Date Abandoned: May 14, 2020

Mark Information

Mark Literal CREDIT SUISSE FIRST BOSTON

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

• Brackets [..] indicate deleted goods/services;

- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Filed 44D: No Currently 44E: No Filed 44E: No Currently 66A: No Filed 66A: No Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Lincoln Capital Ltd.

Owner Address: New Horizon Building

Ground Floor, Phillip S.W. Goldson Hghwy

Belize City, Belize BELIZE

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BELIZE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com Email Address:

Attorney Email Yes Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: MILES PHILLIP S.W., GOLDSON HIGHWAY

BELIZE CITY, BELIZE BELIZE

Correspondent e- <u>it@jtsinghlaw.com</u> <u>JT@MANNYSINGH.COM</u>

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 02, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 02, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Nov. 13, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Nov. 13, 2019	NON-FINAL ACTION E-MAILED	6325
Nov. 13, 2019	NON-FINAL ACTION WRITTEN	81094
Nov. 05, 2019	ASSIGNED TO EXAMINER	81094
Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 23, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 09, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: KUNG, KAELIE ELIZABETH **Law Office** LAW OFFICE 103

Assigned:

File Location

Current Location: TMEG LAW OFFICE 103 - EXAMINING

ATTORNEY ASSIGNED

Date in Location: Jun. 02, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:51:09 EDT

Mark: BNP PARIBAS FORTIS

BNP Paribas Fortis

US Serial Number: 88568383 Application Filing Aug. 06, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 02, 2020 Date Abandoned: May 13, 2020

Mark Information

Mark Literal BNP PARIBAS FORTIS

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Filed 44D: No Currently 44E: No Filed 44E: No Currently 66A: No Filed 66A: No Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Lincoln Capital Ltd.

Owner Address: New Horizon Building

Ground Floor, Phillip S.W. Goldson Hghwy

Belize City, Belize BELIZE

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BELIZE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com Email Address:

Attorney Email Yes Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: MILES PHILLIP S.W., GOLDSON HIGHWAY

BELIZE CITY, BELIZE BELIZE

 $\textbf{Correspondent e-} \ \underline{\text{it@jtsinghlaw.com}} \ \underline{\text{JT@MANNYSINGH.COM}}$

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
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Jun. 02, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
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Nov. 12, 2019	NON-FINAL ACTION E-MAILED	6325
Nov. 12, 2019	NON-FINAL ACTION WRITTEN	81094
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Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 23, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 09, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: KUNG, KAELIE ELIZABETH **Law Office** LAW OFFICE 103

Assigned:

File Location

Current Location: TMEG LAW OFFICE 103 - EXAMINING

ATTORNEY ASSIGNED

Date in Location: Jun. 02, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:51:29 EDT

Mark: FORTIS N.V.

Fortis N.V.

US Serial Number: 88568370 Application Filing Aug. 06, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:

DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 02, 2020 Date Abandoned: May 13, 2020

Mark Information

Mark Literal FORTIS N.V.

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Filed No Basis: No

Class Status: ACTIVE Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Filed 44D: No Currently 44E: No Filed 44E: No Currently 66A: No Filed 66A: No Currently No Basis: No

Current Owner(s) Information

Owner Name: Lincoln Capital Ltd. Owner Address: New Horizon Building

Ground Floor, Phillip S.W. Goldson Hghwy

Belize City, Belize BELIZE

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BELIZE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: MILES PHILLIP S.W., GOLDSON HIGHWAY

BELIZE CITY, BELIZE BELIZE

Correspondent e- <u>it@jtsinghlaw.com</u> <u>JT@MANNYSINGH.COM</u>

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
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Jun. 02, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Nov. 12, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Nov. 12, 2019	NON-FINAL ACTION E-MAILED	6325
Nov. 12, 2019	NON-FINAL ACTION WRITTEN	81094
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Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 23, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 09, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: KUNG, KAELIE ELIZABETH **Law Office** LAW OFFICE 103

Assigned:

File Location

Current Location: TMEG LAW OFFICE 103 - EXAMINING

ATTORNEY ASSIGNED

Date in Location: Jun. 02, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:51:49 EDT

Mark: ASTOR CAPITAL

Astor Capital

US Serial Number: 88568375 Application Filing Aug. 06, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal Mark Type: Service Mark

TM5 Common Status

Descriptor:

DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office

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Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 02, 2020 Date Abandoned: May 14, 2020

Mark Information

Mark Literal ASTOR CAPITAL

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Filed No Basis: No

Class Status: ACTIVE Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Filed 44D: No Currently 44E: No Filed 44E: No Currently 66A: No Filed 66A: No Currently No Basis: No

Current Owner(s) Information

Owner Name: Lincoln Capital Ltd. Owner Address: New Horizon Building

Ground Floor, Phillip S.W. Goldson Hghwy

Belize City, Belize BELIZE

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BELIZE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: MILES PHILLIP S.W., GOLDSON HIGHWAY

BELIZE CITY, BELIZE BELIZE

Correspondent e- jt@jtsinghlaw.com JT@MANNYSINGH.COM

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 02, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 02, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Nov. 13, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Nov. 13, 2019	NON-FINAL ACTION E-MAILED	6325
Nov. 13, 2019	NON-FINAL ACTION WRITTEN	81094
Nov. 05, 2019	ASSIGNED TO EXAMINER	81094
Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 23, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 09, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: KUNG, KAELIE ELIZABETH Law Office LAW OFFICE 103

Assigned:

File Location

Current Location: TMEG LAW OFFICE 103 - EXAMINING

ATTORNEY ASSIGNED

Date in Location: Jun. 02, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:52:19 EDT

Mark: MAYER AMSCHEL ROTHSCHILD CAPITAL

Mayer Amschel Rothschild Capital

US Serial Number: 88568356 Application Filing Aug. 06, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal Mark Type: Service Mark

TM5 Common Status Descriptor:



DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office

and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 02, 2020 Date Abandoned: May 13, 2020

Mark Information

Mark Literal MAYER AMSCHEL ROTHSCHILD CAPITAL

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

The following symbols indicate that the registrant/owner has amended the goods/services:

Brackets [..] indicate deleted goods/services;

• Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Currently 44E: No Filed 44D: No Filed 44E: No Currently 66A: No Filed 66A: No Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Lincoln Capital Ltd. Owner Address: New Horizon Building

Ground Floor, Phillip S.W. Goldson Hghwy

Belize City, Belize BELIZE

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BELIZE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: MILES PHILLIP S.W., GOLDSON HIGHWAY

BELIZE CITY, BELIZE BELIZE

Correspondent e- jt@jtsinghlaw.com JT@MANNYSINGH.COM

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 02, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 02, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Nov. 12, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Nov. 12, 2019	NON-FINAL ACTION E-MAILED	6325
Nov. 12, 2019	NON-FINAL ACTION WRITTEN	81094
Nov. 05, 2019	ASSIGNED TO EXAMINER	81094
Oct. 31, 2019	LETTER OF PROTEST ACCEPTED	
Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 23, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 09, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: KUNG, KAELIE ELIZABETH Law Office LAW OFFICE 103

Assigned:

File Location

Current Location: TMEG LAW OFFICE 103 - EXAMINING

ATTORNEY ASSIGNED

Date in Location: Jun. 02, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:52:40 EDT

Mark: SAMUEL WALTON CAPITAL

Samuel Walton Capital

US Serial Number: 88568332 Application Filing Aug. 06, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:

DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 02, 2020 Date Abandoned: May 13, 2020

Mark Information

Mark Literal SAMUEL WALTON CAPITAL

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

The following symbols indicate that the registrant/owner has amended the goods/services:

Brackets [..] indicate deleted goods/services;

• Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Filed 44D: No Currently 44E: No Filed 44E: No Currently 66A: No Filed 66A: No Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Lincoln Capital Ltd.

Owner Address: New Horizon Building

Ground Floor, Phillip S.W. Goldson Hghwy

Belize City, Belize BELIZE

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BELIZE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: MILES PHILLIP S.W., GOLDSON HIGHWAY

BELIZE CITY, BELIZE BELIZE

 $\textbf{Correspondent e-} \ \underline{jt@jtsinghlaw.com} \ \underline{jt@jtsinghlaw.com} \ \underline{jt@jtsinghlaw.com}$

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 02, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 02, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
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Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 23, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 09, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: KUNG, KAELIE ELIZABETH **Law Office** LAW OFFICE 103

Assigned:

File Location

Current Location: TMEG LAW OFFICE 103 - EXAMINING

ATTORNEY ASSIGNED

Date in Location: Jun. 02, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:52:58 EDT

Mark: LAZARD FRERES & CO.

Lazard Freres & Co.

US Serial Number: 88568313 Application Filing Aug. 06, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:

DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 02, 2020 Date Abandoned: May 13, 2020

Mark Information

Mark Literal LAZARD FRERES & CO.

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No

Filed ITU: Yes

Currently ITU: Yes

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Lincoln Capital Ltd.

Owner Address: New Horizon Building

Ground Floor, Phillip S.W. Goldson Hghwy

Belize City, Belize BELIZE

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BELIZE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com Email Address:

Attorney Email Yes Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: MILES PHILLIP S.W., GOLDSON HIGHWAY

BELIZE CITY, BELIZE BELIZE

Correspondent e- jt@jtsinghlaw.com JT@MANNYSINGH.COM

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
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Jun. 02, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
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Nov. 12, 2019	NON-FINAL ACTION E-MAILED	6325
Nov. 12, 2019	NON-FINAL ACTION WRITTEN	81094
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Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 23, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 09, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: KUNG, KAELIE ELIZABETH **Law Office** LAW OFFICE 103

Assigned:

File Location

Current Location: TMEG LAW OFFICE 103 - EXAMINING

ATTORNEY ASSIGNED

Date in Location: Jun. 02, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:53:23 EDT

Mark: UBS PAINE WEBBER

UBS Paine Webber

US Serial Number: 88571547 Application Filing Aug. 08, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

wark Type: Service Mark

TM5 Common Status Descriptor: DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office

and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 08, 2020 Date Abandoned: Apr. 27, 2020

Mark Information

Mark Literal UBS PAINE WEBBER

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Filed 44D: No Currently 44E: No Filed 44E: No Currently 66A: No Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: BLACK ROCK CAPITAL, LLC

Owner Address: OLD FORT #4 - WESTERN ROAD, SP-63 771

OLD FORT #4 - WESTERN ROAD, SP-63 771

NASSAU, NEW PROVIDENCE BAHAMAS

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BAHAMAS
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: OLD FORT #4 - WESTERN ROAD, SP-63 771 NASSAU, NEW PROVIDENCE BAHAMAS

Correspondent e- jt@jtsinghlaw.com niuj12@outlook.com Correspondent e- Yes

mail:

mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 08, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 08, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Oct. 24, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Oct. 24, 2019	NON-FINAL ACTION E-MAILED	6325
Oct. 24, 2019	NON-FINAL ACTION WRITTEN	93662
Oct. 17, 2019	ASSIGNED TO EXAMINER	93662
Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 29, 2019	ASSIGNED TO LIE	76568
Aug. 25, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 23, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 12, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: MCBRIDE, CAMERON L Law Office LAW OFFICE 106

Assigned:

File Location

Current Location: TMEG LAW OFFICE 106 - EXAMINING Date in Location: Jun. 08, 2020

ATTORNEY ASSIGNED

Generated on: This page was generated by TSDR on 2020-09-30 17:53:52 EDT

Mark: PRICE WATERHOUSE COOPERS

Price Waterhouse Coopers

US Serial Number: 88536616 Application Filing Jul. 25, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal Mark Type: Service Mark

TM5 Common Status Descriptor:

DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 08, 2020 Date Abandoned: Apr. 27, 2020

Mark Information

Mark Literal PRICE WATERHOUSE COOPERS

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial services, namely, corporate workout, debt restructuring, receivership, and loan resolution for commercial loans

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Currently 44E: No Filed 44D: No Filed 44E: No Currently 66A: No Filed 66A: No Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: BLACK ROCK CAPITAL, LLC

Owner Address: OLD FORT #4 - WESTERN ROAD, SP-63 771

OLD FORT #4 - WESTERN ROAD, SP-63 771

NASSAU, NEW PROVIDENCE BAHAMAS

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BAHAMAS
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: BLACK ROCK CAPITAL LLC

OLD FORT #4 - WESTERN ROAD, SP-63 771 OLD FORT #4 - WESTERN ROAD, SP-63 771 NASSAU, NEW PROVIDENCE BAHAMAS

Correspondent e- jt@jtsinghlaw.com

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
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Oct. 24, 2019	NON-FINAL ACTION E-MAILED	6325
Oct. 24, 2019	NON-FINAL ACTION WRITTEN	93662
Oct. 17, 2019	ASSIGNED TO EXAMINER	93662
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Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 29, 2019	ASSIGNED TO LIE	76568
Aug. 23, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 06, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Aug. 06, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 02, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jul. 29, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: MCBRIDE, CAMERON L Law Office LAW OFFICE 106

Assigned:

File Location

Current Location: TMEG LAW OFFICE 106 - EXAMINING Date in Location: Jun. 08, 2020

ATTORNEY ASSIGNED

Generated on: This page was generated by TSDR on 2020-09-30 17:54:13 EDT

Mark: ARTHUR ANDERSEN

Arthur Andersen

US Serial Number: 88536605 Application Filing Jul. 25, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

The Common States

TM5 Common Status Descriptor:



DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 08, 2020 Date Abandoned: Apr. 27, 2020

Mark Information

Mark Literal ARTHUR ANDERSEN

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Lining and The stippling is a feature of the mark and does not indicate color.

Stippling Statement:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

• Brackets [..] indicate deleted goods/services;

• Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

• Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial services, namely, corporate workout, debt restructuring, receivership, and loan resolution for commercial loans

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Filed No Basis: No

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No

Filed ITU: Yes

Currently ITU: Yes

Filed 44D: No

Currently 44E: No

Currently 66A: No

Currently No Basis: No

Current Owner(s) Information

Owner Name: BLACK ROCK CAPITAL, LLC

Owner Address: OLD FORT #4 - WESTERN ROAD, SP-63 771

OLD FORT #4 - WESTERN ROAD, SP-63 771 NASSAU, NEW PROVIDENCE BAHAMAS

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country BAHAMAS

Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: BLACK ROCK CAPITAL LLC

OLD FORT #4 - WESTERN ROAD, SP-63 771 OLD FORT #4 - WESTERN ROAD, SP-63 771 NASSAU, NEW PROVIDENCE BAHAMAS

Correspondent e- <u>it@jtsinghlaw.com</u>

Correspondent e- Yes

mail: mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 08, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 08, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
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Oct. 24, 2019	NON-FINAL ACTION E-MAILED	6325
Oct. 24, 2019	NON-FINAL ACTION WRITTEN	93662
Oct. 17, 2019	ASSIGNED TO EXAMINER	93662
Aug. 26, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Aug. 26, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 23, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 06, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Aug. 06, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 02, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jul. 29, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: MCBRIDE, CAMERON L Law Office LAW OFFICE 106

Assigned:

File Location

Current Location: TMEG LAW OFFICE 106 - EXAMINING

ATTORNEY ASSIGNED

Date in Location: Jun. 08, 2020

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Generated on: This page was generated by TSDR on 2020-09-30 17:54:33 EDT

Mark: CORNELIUS VANDERBILT

Cornelius Vanderbilt

US Serial Number: 88536590 Application Filing Jul. 25, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal Mark Type: Service Mark

TM5 Common Status Descriptor:

DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 08, 2020 Date Abandoned: Apr. 27, 2020

Mark Information

Mark Literal CORNELIUS VANDERBILT

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial services, namely, corporate workout, debt restructuring, receivership, and loan resolution for commercial loans

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Currently 44E: No Filed 44D: No Filed 44E: No Currently 66A: No Filed 66A: No Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: BLACK ROCK CAPITAL, LLC

Owner Address: OLD FORT #4 - WESTERN ROAD, SP-63 771

OLD FORT #4 - WESTERN ROAD, SP-63 771

NASSAU, NEW PROVIDENCE BAHAMAS

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BAHAMAS
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: BLACK ROCK CAPITAL LLC

OLD FORT #4 - WESTERN ROAD, SP-63 771 OLD FORT #4 - WESTERN ROAD, SP-63 771 NASSAU, NEW PROVIDENCE BAHAMAS

Correspondent e- jt@jtsinghlaw.com

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 08, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 08, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Oct. 24, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Oct. 24, 2019	NON-FINAL ACTION E-MAILED	6325
Oct. 24, 2019	NON-FINAL ACTION WRITTEN	93662
Oct. 17, 2019	ASSIGNED TO EXAMINER	93662
Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 29, 2019	ASSIGNED TO LIE	76568
Aug. 23, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 06, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Aug. 06, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 02, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jul. 29, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: MCBRIDE, CAMERON L Law Office LAW OFFICE 106

Assigned:

File Location

Current Location: TMEG LAW OFFICE 106 - EXAMINING Date in Location: Jun. 08, 2020

ATTORNEY ASSIGNED

Generated on: This page was generated by TSDR on 2020-09-30 17:54:48 EDT

Mark: JOHN D. ROCKEFELLER

John D. Rockefeller

US Serial Number: 88536572 Application Filing Jul. 25, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor: DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 08, 2020 Date Abandoned: Apr. 27, 2020

Mark Information

Mark Literal JOHN D. ROCKEFELLER

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial services, namely, corporate workout, debt restructuring, receivership, and loan resolution for commercial loans

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Currently 44E: No Filed 44D: No Filed 44E: No Currently 66A: No Filed 66A: No Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: BLACK ROCK CAPITAL, LLC

Owner Address: OLD FORT #4 - WESTERN ROAD, SP-63 771

OLD FORT #4 - WESTERN ROAD, SP-63 771

NASSAU, NEW PROVIDENCE BAHAMAS

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BAHAMAS
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com Email Address:

Attorney Email Yes Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: BLACK ROCK CAPITAL LLC

OLD FORT #4 - WESTERN ROAD, SP-63 771 OLD FORT #4 - WESTERN ROAD, SP-63 771 NASSAU, NEW PROVIDENCE BAHAMAS

Correspondent e- jt@jtsinghlaw.com

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 08, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 08, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Oct. 24, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Oct. 24, 2019	NON-FINAL ACTION E-MAILED	6325
Oct. 24, 2019	NON-FINAL ACTION WRITTEN	93662
Oct. 17, 2019	ASSIGNED TO EXAMINER	93662
Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 29, 2019	ASSIGNED TO LIE	76568
Aug. 23, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 06, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Aug. 06, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 02, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jul. 29, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: MCBRIDE, CAMERON L Law Office LAW OFFICE 106

Assigned:

File Location

Current Location: TMEG LAW OFFICE 106 - EXAMINING Date in Location: Jun. 08, 2020

ATTORNEY ASSIGNED

Generated on: This page was generated by TSDR on 2020-09-30 17:55:07 EDT

Mark: ANDREW CARNEGIE

Andrew Carnegie

US Serial Number: 88536550 Application Filing Jul. 25, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

Mark Type: Service Mark

TM5 Common Status Descriptor:



DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 08, 2020 Date Abandoned: Apr. 27, 2020

Mark Information

Mark Literal ANDREW CARNEGIE

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial services, namely, corporate workout, debt restructuring, receivership, and loan resolution for commercial loans

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Filed 44D: No Currently 44E: No Filed 44E: No Currently 66A: No Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: BLACK ROCK CAPITAL, LLC

Owner Address: OLD FORT #4 - WESTERN ROAD, SP-63 771

OLD FORT #4 - WESTERN ROAD, SP-63 771

NASSAU, NEW PROVIDENCE BAHAMAS

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BAHAMAS
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: BLACK ROCK CAPITAL LLC

OLD FORT #4 - WESTERN ROAD, SP-63 771 OLD FORT #4 - WESTERN ROAD, SP-63 771 NASSAU, NEW PROVIDENCE BAHAMAS

Correspondent e- jt@jtsinghlaw.com

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
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Oct. 24, 2019	NON-FINAL ACTION WRITTEN	93662
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Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 29, 2019	ASSIGNED TO LIE	76568
Aug. 23, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 06, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Aug. 06, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 02, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jul. 29, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: MCBRIDE, CAMERON L Law Office LAW OFFICE 106

Assigned:

File Location

Current Location: TMEG LAW OFFICE 106 - EXAMINING Date in Location: Jun. 08, 2020

ATTORNEY ASSIGNED

Generated on: This page was generated by TSDR on 2020-09-30 17:55:27 EDT

Mark: SAL. OPPENHEIM

Sal. Oppenheim

US Serial Number: 88536535 Application Filing Jul. 25, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

wark Type: Service Mark

TM5 Common Status Descriptor: DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office

and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 08, 2020 Date Abandoned: Apr. 27, 2020

Mark Information

Mark Literal SAL. OPPENHEIM

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial services, namely, corporate workout, debt restructuring, receivership, and loan resolution for commercial loans

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No

Filed ITU: Yes

Currently ITU: Yes

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: BLACK ROCK CAPITAL, LLC

Owner Address: OLD FORT #4 - WESTERN ROAD, SP-63 771

OLD FORT #4 - WESTERN ROAD, SP-63 771

NASSAU, NEW PROVIDENCE BAHAMAS

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BAHAMAS
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: BLACK ROCK CAPITAL LLC

OLD FORT #4 - WESTERN ROAD, SP-63 771 OLD FORT #4 - WESTERN ROAD, SP-63 771 NASSAU, NEW PROVIDENCE BAHAMAS

Correspondent e- jt@jtsinghlaw.com

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 08, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 08, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
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Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 29, 2019	ASSIGNED TO LIE	76568
Aug. 23, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 06, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Aug. 06, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 02, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jul. 29, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: MCBRIDE, CAMERON L Law Office LAW OFFICE 106

Assigned:

File Location

Current Location: TMEG LAW OFFICE 106 - EXAMINING Date in Location: Jun. 08, 2020

ATTORNEY ASSIGNED

Generated on: This page was generated by TSDR on 2020-09-30 17:55:57 EDT

Mark: OPPENHEIM

Oppenheim

US Serial Number: 88568112 Application Filing Aug. 06, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:

DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 05, 2020 Date Abandoned: May 13, 2020

Mark Information

Mark Literal OPPENHEIM

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Filed No Basis: No

Class Status: ACTIVE Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Currently 44E: No Filed 44D: No Filed 44E: No Currently 66A: No Filed 66A: No Currently No Basis: No

Current Owner(s) Information

Owner Name: BLACK ROCK CAPITAL LLC

Owner Address: OLD FORT #4 - WESTERN ROAD, SP-63 771

OLD FORT #4 - WESTERN ROAD, SP-63 771

NASSAU, NEW PROVIDENCE BAHAMAS

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BAHAMAS
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent BLACK ROCK CAPITAL LLC

Name/Address: BLACK ROCK CAPITAL LLC

OLD FORT #4 - WESTERN ROAD, SP-63 771 OLD FORT #4 - WESTERN ROAD, SP-63 771 NASSAU, NEW PROVIDENCE BAHAMAS

Correspondent e- jt@jtsinghlaw.com niuj12@outlook.com

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 08, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 05, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Nov. 12, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Nov. 12, 2019	NON-FINAL ACTION E-MAILED	6325
Nov. 12, 2019	NON-FINAL ACTION WRITTEN	94657
Nov. 05, 2019	ASSIGNED TO EXAMINER	94657
Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 29, 2019	ASSIGNED TO LIE	76568
Aug. 23, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 23, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 09, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: RUSKIN, MATTHEW SEAN Law Office LAW OFFICE 106

Assigned:

File Location

Current Location: TMEG LAW OFFICE 106 - EXAMINING

ATTORNEY ASSIGNED

Date in Location: Jun. 05, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:56:16 EDT

Mark: BENTLEY ROTHSCHILD

Bentley Rothschild

US Serial Number: 88457938 Application Filing Jun. 03, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:

DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Apr. 10, 2020 Date Abandoned: Mar. 17, 2020

Mark Information

Mark Literal BENTLEY ROTHSCHILD

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial services, namely, advising corporations on debt and financial restructuring, bankruptcy reorganization and divestitures, namely, sales of entities and their stock and assets; strategic planning and capital raising for corporations, start-ups, emerging companies, private equity fund and other similar corporate entities; stock loans; arranging financing for start-ups, emerging companies, private equity funds and other similar corporate entities; merchant banking; investment banking; underwriting, namely, underwriting offers of securities as manager, co-manager or syndicate member; private placements; investment management and advice; financial consultancy, planning, research and appraisal of corporate entities; financial evaluation and other wealth management services, namely, for high net worth individuals, for pension and other institutional accounts; trading and dealing in stocks, securities and other financial products, namely, bonds and advisory services relating thereto; brokerage and dealer services in the field of stocks and securities; providing financial news and information via a global communication network; financial investment and financial advisory services in the field of real estate; leasing of real estate; real estate acquisition services; real estate appraisal; real estate equity sharing, namely, managing and arranging for co-ownership of real estate; and real estate investment and real estate management

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Filed 44D: No Currently 44E: No Filed 44E: No Currently 66A: No Filed 66A: No Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: BLACK ROCK CAPITAL LLC

Owner Address: OLD FORT #4 - WESTERN ROAD, SP-63 771

NASSAU, NEW PROVIDENCE BAHAMAS

Legal Entity Type: LIMITED LIABILITY COMPANY State or Country BAHAMAS

Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary jt@jtsinghlaw.com Attorney Email Yes **Email Address:** Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: 132 E. 43 rd Street

New York, NEW YORK UNITED STATES 10017

Correspondent e- jt@jtsinghlaw.com jt@jtsinghlaw.com mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Apr. 10, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Apr. 10, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Sep. 16, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Sep. 16, 2019	NON-FINAL ACTION E-MAILED	6325
Sep. 16, 2019	NON-FINAL ACTION WRITTEN	91245
Sep. 04, 2019	APPLICANT AMENDMENT PRIOR TO EXAMINATION - ENTERED	66213
Aug. 30, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 30, 2019	AMENDMENT FROM APPLICANT ENTERED	66213
Aug. 30, 2019	CORRESPONDENCE RECEIVED IN LAW OFFICE	66213
Aug. 30, 2019	ASSIGNED TO LIE	66213
Aug. 23, 2019	PAPER RECEIVED	
Aug. 22, 2019	ASSIGNED TO EXAMINER	91245
Jun. 18, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jun. 06, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: SEVERSON, JUSTIN Law Office LAW OFFICE 111 Assigned:

File Location

Current Location: TMO LAW OFFICE 111 - EXAMINING

ATTORNEY ASSIGNED

Date in Location: Apr. 10, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:56:32 EDT

Mark: PENN STATE FINANCIAL

PENN STATE FINANCIAL

US Serial Number: 88457959 Application Filing Jun. 03, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Mar. 24, 2020 Date Abandoned: Mar. 12, 2020

Mark Information

Mark Literal PENN STATE FINANCIAL

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Disclaimer: "FINANCIAL"

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial services, namely, advising corporations on debt and financial restructuring, bankruptcy reorganization and divestitures, namely, sales of entities and their stock and assets; strategic planning and capital raising for corporations, start-ups, emerging companies, private equity fund and other similar corporate entities; stock loans; arranging financing for start-ups, emerging companies, private equity funds and other similar corporate entities; merchant banking; investment banking; underwriting, namely, underwriting offers of securities as manager, co-manager or syndicate member; private placements; investment management and advice; financial consultancy, planning, research and appraisal of corporate entities; financial evaluation and other wealth management services, namely, for high net worth individuals, for pension and other institutional accounts; trading and dealing in stocks, securities and other financial products, namely, bonds and advisory services relating thereto; brokerage and dealer services in the field of stocks and securities; providing financial news and information via a global communication network; financial investment and financial advisory services in the field of real estate; leasing of real estate; real estate acquisition services; real estate appraisal; real estate equity sharing, namely, managing and arranging for co-ownership of real estate; and real estate investment and real estate management

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use:NoCurrently Use:NoFiled ITU:YesCurrently ITU:YesFiled 44D:NoCurrently 44E:NoFiled 44E:NoCurrently 66A:No

Filed 66A: No Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: BLACK ROCK CAPITAL LLC

Owner Address: OLD FORT #4 - WESTERN ROAD, SP-63 771

NASSAU, NEW PROVIDENCE BAHAMAS

Legal Entity Type: LIMITED LIABILITY COMPANY State or Country BAHAMAS

Where Organized:

Attorney/Correspondence Information

Attorney of Record - None

Correspondent

Correspondent BLACK ROCK CAPITAL LLC Name/Address: BLACK ROCK CAPITAL LLC

OLD FORT #4 - WESTERN ROAD, SP-63 771 NASSAU, NEW PROVIDENCE BAHAMAS

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Mar. 24, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Mar. 24, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Sep. 11, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Sep. 11, 2019	NON-FINAL ACTION E-MAILED	6325
Sep. 11, 2019	NON-FINAL ACTION WRITTEN	91245
Aug. 22, 2019	ASSIGNED TO EXAMINER	91245
Jun. 18, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jun. 06, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: SEVERSON, JUSTIN Law Office LAW OFFICE 111

Assigned:

File Location

Current Location: TMO LAW OFFICE 111 - EXAMINING Date in Location: Mar. 24, 2020

ATTORNEY ASSIGNED

Generated on: This page was generated by TSDR on 2020-09-30 17:56:51 EDT

Mark: WASHINGTON MUTUAL

WASHINGTON MUTUAL

US Serial Number: 88457955 Application Filing Jun. 03, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status

Descriptor:

DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Mar. 24, 2020 Date Abandoned: Mar. 12, 2020

Mark Information

Mark Literal WASHINGTON MUTUAL

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

• Brackets [..] indicate deleted goods/services;

- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Filed No Basis: No

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Filed 44D: No Currently 44E: No Filed 44E: No Currently 66A: No Currently No Basis: No

Current Owner(s) Information

Owner Name: BLACK ROCK CAPITAL LLC

Owner Address: OLD FORT #4 - WESTERN ROAD, SP-63 771

NASSAU, NEW PROVIDENCE BAHAMAS

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BAHAMAS
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: 6610 N. University Drive

Florida, FLORIDA UNITED STATES 33321

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Mar. 24, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Mar. 24, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Sep. 11, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Sep. 11, 2019	NON-FINAL ACTION E-MAILED	6325
Sep. 11, 2019	NON-FINAL ACTION WRITTEN	91245
Sep. 04, 2019	APPLICANT AMENDMENT PRIOR TO EXAMINATION - ENTERED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Sep. 04, 2019	ASSIGNED TO LIE	68552
Aug. 28, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 22, 2019	ASSIGNED TO EXAMINER	91245
Jun. 18, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jun. 06, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: SEVERSON, JUSTIN Law Office LAW OFFICE 111

Assigned:

File Location

Current Location: TMO LAW OFFICE 111 - EXAMINING Date in Location: Mar. 24, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:57:07 EDT

Mark: BEAR STEARNS

BEAR STEARNS

US Serial Number: 88457949 Application Filing Jun. 03, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

Mark Type: Service Mark

TM5 Common Status Descriptor:



DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Mar. 24, 2020 Date Abandoned: Mar. 12, 2020

Mark Information

Mark Literal BEAR STEARNS

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Filed No Basis: No

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Filed 44D: No Currently 44E: No Filed 44E: No Currently 66A: No Currently No Basis: No

Current Owner(s) Information

Owner Name: BLACK ROCK CAPITAL LLC

Owner Address: OLD FORT #4 - WESTERN ROAD, SP-63 771

NASSAU, NEW PROVIDENCE BAHAMAS

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BAHAMAS
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: 6610 N. University Drive

Florida, FLORIDA UNITED STATES 33321

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Mar. 24, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Mar. 24, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Sep. 11, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Sep. 11, 2019	NON-FINAL ACTION E-MAILED	6325
Sep. 11, 2019	NON-FINAL ACTION WRITTEN	91245
Sep. 04, 2019	APPLICANT AMENDMENT PRIOR TO EXAMINATION - ENTERED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Sep. 04, 2019	ASSIGNED TO LIE	68552
Aug. 28, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 22, 2019	ASSIGNED TO EXAMINER	91245
Jun. 18, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jun. 06, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: SEVERSON, JUSTIN Law Office LAW OFFICE 111

Assigned:

File Location

Current Location: TMO LAW OFFICE 111 - EXAMINING

ATTORNEY ASSIGNED

Date in Location: Mar. 24, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:57:26 EDT

Mark: DREYFUS FINANCIAL

Dreyfus Financial

US Serial Number: 88457944 Application Filing Jun. 03, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Mar. 24, 2020 Date Abandoned: Mar. 12, 2020

Mark Information

Mark Literal DREYFUS FINANCIAL

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Disclaimer: "FINANCIAL"

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Financial services, namely, advising corporations on debt and financial restructuring, bankruptcy reorganization and divestitures, namely, sales of entities and their stock and assets; strategic planning and capital raising for corporations, start-ups, emerging companies, private equity fund and other similar corporate entities; stock loans; arranging financing for start-ups, emerging companies, private equity funds and other similar corporate entities; merchant banking; investment banking; underwriting, namely, underwriting offers of securities as manager, co-manager or syndicate member; private placements; investment management and advice; financial consultancy, planning, research and appraisal of corporate entities; financial evaluation and other wealth management services, namely, for high net worth individuals, for pension and other institutional accounts; trading and dealing in stocks, securities and other financial products, namely, bonds and advisory services relating thereto; brokerage and dealer services in the field of stocks and securities; providing financial news and information via a global communication network; financial investment and financial advisory services in the field of real estate; leasing of real estate; real estate acquisition services; real estate appraisal; real estate equity sharing, namely, managing and arranging for co-ownership of real estate; and real estate investment and real estate management

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use:NoCurrently Use:NoFiled ITU:YesCurrently ITU:YesFiled 44D:NoCurrently 44E:NoFiled 44E:NoCurrently 66A:No

Filed 66A: No Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: BLACK ROCK CAPITAL LLC

Owner Address: OLD FORT #4 - WESTERN ROAD, SP-63 771

NASSAU, NEW PROVIDENCE BAHAMAS

Legal Entity Type: LIMITED LIABILITY COMPANY State or Country BAHAMAS

Where Organized:

Attorney/Correspondence Information

Attorney of Record - None

Correspondent

Correspondent BLACK ROCK CAPITAL LLC Name/Address: BLACK ROCK CAPITAL LLC

OLD FORT #4 - WESTERN ROAD, SP-63 771 NASSAU, NEW PROVIDENCE BAHAMAS

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Mar. 24, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Mar. 24, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Sep. 11, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Sep. 11, 2019	NON-FINAL ACTION E-MAILED	6325
Sep. 11, 2019	NON-FINAL ACTION WRITTEN	91245
Aug. 22, 2019	ASSIGNED TO EXAMINER	91245
Jun. 18, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jun. 06, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: SEVERSON, JUSTIN

Law Office LAW OFFICE 111

Assigned:

File Location

Current Location: TMO LAW OFFICE 111 - EXAMINING Date

ATTORNEY ASSIGNED

Date in Location: Mar. 24, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:57:43 EDT

Mark: GEORGE SOROS CAPITAL

George Soros Capital

US Serial Number: 88571143 Application Filing Aug. 08, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 04, 2020 Date Abandoned: May 12, 2020

Mark Information

Mark Literal GEORGE SOROS CAPITAL

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

The following symbols indicate that the registrant/owner has amended the goods/services:

Brackets [..] indicate deleted goods/services;

• Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Filed 44D: No Currently 44E: No Filed 44E: No Currently 66A: No Filed 66A: No Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Newburgh Capital Ltd. Owner Address: New Horizon Building

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BELIZE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com Email Attorney Email Yes Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: NEW HORIZON BUILDING

GROUND FLOOR, PHILLIP S.W. GOLDSON HGHWY

BELIZE CITY, BELIZE BELIZE

Correspondent e- jt@jtsinghlaw.com jt@mannysingh.com

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 04, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 04, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Nov. 09, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Nov. 09, 2019	NON-FINAL ACTION E-MAILED	6325
Nov. 09, 2019	NON-FINAL ACTION WRITTEN	94649
Nov. 05, 2019	ASSIGNED TO EXAMINER	94649
Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 24, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 12, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: GADSON, SHARI B. Law Office LAW OFFICE 120

Assigned:

File Location

Current Location: TMO LAW OFFICE 120 - EXAMINING

ATTORNEY ASSIGNED

Date in Location: Jun. 04, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:58:00 EDT

Mark: WARREN BUFFET CAPITAL

WARREN BUFFETT CAPITAL

US Serial Number: 88568489 Application Filing Aug. 06, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status

Descriptor:

DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office

and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 04, 2020 Date Abandoned: May 12, 2020

Mark Information

Mark Literal WARREN BUFFET CAPITAL

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No

Filed ITU: Yes

Currently ITU: Yes

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Newburgh Capital Ltd.

Owner Address: New Horizon Building

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BELIZE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: MILES PHILLIP S.W., GOLDSON HIGHWAY

BELIZE CITY, BELIZE BELIZE

 $\textbf{Correspondent e-} \ \underline{\text{jt@jtsinghlaw.com}} \ \underline{\text{JT@MANNYSINGH.COM}}$

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 04, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 04, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Nov. 09, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Nov. 09, 2019	NON-FINAL ACTION E-MAILED	6325
Nov. 09, 2019	NON-FINAL ACTION WRITTEN	94649
Nov. 05, 2019	ASSIGNED TO EXAMINER	94649
Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 23, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 13, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Aug. 13, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 09, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: GADSON, SHARI B. Law Office LAW OFFICE 120

Assigned:

File Location

Current Location: TMO LAW OFFICE 120 - EXAMINING Date in Location: Jun. 04, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:58:25 EDT

Mark: DEAN WITTER REYNOLDS

Dean Witter Reynolds

US Serial Number: 88568478 Application Filing Aug. 06, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor: DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

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Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 04, 2020 Date Abandoned: May 12, 2020

Mark Information

Mark Literal DEAN WITTER REYNOLDS

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

• Brackets [..] indicate deleted goods/services;

• Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No

Filed ITU: Yes

Currently ITU: Yes

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Newburgh Capital Ltd.

Owner Address: New Horizon Building

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BELIZE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: MILES PHILLIP S.W., GOLDSON HIGHWAY

BELIZE CITY, BELIZE BELIZE

Correspondent e- jt@jtsinghlaw.com JT@MANNYSINGH.COM

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 04, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 04, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
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Nov. 09, 2019	NON-FINAL ACTION E-MAILED	6325
Nov. 09, 2019	NON-FINAL ACTION WRITTEN	94649
Nov. 05, 2019	ASSIGNED TO EXAMINER	94649
Oct. 08, 2019	LETTER OF PROTEST ACCEPTED	
Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 23, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 09, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: GADSON, SHARI B. Law Office LAW OFFICE 120

Assigned:

File Location

Current Location: TMO LAW OFFICE 120 - EXAMINING

ATTORNEY ASSIGNED

Date in Location: Jun. 04, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:58:49 EDT

Mark: SALOMON SMITH BARNEY

Salomon Smith Barney

US Serial Number: 88568441 Application Filing Aug. 06, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 04, 2020 Date Abandoned: May 12, 2020

Mark Information

Mark Literal SALOMON SMITH BARNEY

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Filed No Basis: No

Class Status: ACTIVE Basis: 1(b)

Basis Information (Case Level)

Filed Use: No Currently Use: No Filed ITU: Yes Currently ITU: Yes Currently 44E: No Filed 44D: No Filed 44E: No Currently 66A: No Filed 66A: No Currently No Basis: No

Current Owner(s) Information

Owner Name: Newburgh Capital Ltd. Owner Address: New Horizon Building

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BELIZE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: MILES PHILLIP S.W., GOLDSON HIGHWAY

BELIZE CITY, BELIZE BELIZE

Correspondent e- jt@jtsinghlaw.com JT@MANNYSINGH.COM

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 04, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 04, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
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Nov. 09, 2019	NON-FINAL ACTION WRITTEN	94649
Nov. 05, 2019	ASSIGNED TO EXAMINER	94649
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Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 23, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 09, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: GADSON, SHARI B. Law Office LAW OFFICE 120

Assigned:

File Location

Current Location: TMO LAW OFFICE 120 - EXAMINING

ATTORNEY ASSIGNED

Date in Location: Jun. 04, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:59:12 EDT

Mark: PRUDENTIAL - BACHELOR



US Serial Number: 88568466 Application Filing Aug. 06, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

wark Type: Service Mark

TM5 Common Status Descriptor:



DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 04, 2020 Date Abandoned: May 13, 2020

Mark Information

Mark Literal PRUDENTIAL - BACHELOR

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No

Filed ITU: Yes

Currently ITU: Yes

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Currently No Basis: No

Filed No Basis: No

Current Owner(s) Information

Owner Name: Newburgh Capital Ltd.

Owner Address: New Horizon Building

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BELIZE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: MILES PHILLIP S.W., GOLDSON HIGHWAY

BELIZE CITY, BELIZE BELIZE

 $\textbf{Correspondent e-} \ \underline{jt@jtsinghlaw.com} \ \underline{JT@MANNYSINGH.COM}$

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jun. 04, 2020	ABANDONMENT NOTICE E-MAILED - FAILURE TO RESPOND	
Jun. 04, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Nov. 12, 2019	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Nov. 12, 2019	NON-FINAL ACTION E-MAILED	6325
Nov. 12, 2019	NON-FINAL ACTION WRITTEN	94649
Nov. 05, 2019	ASSIGNED TO EXAMINER	94649
Sep. 04, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Sep. 04, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
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Aug. 13, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 09, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: GADSON, SHARI B. Law Office LAW OFFICE 120

Assigned:

File Location

Current Location: TMO LAW OFFICE 120 - EXAMINING Date in Location: Jun. 04, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:59:35 EDT

Mark: DL & J DONALDSON, LUFKIN, & JENRETTE

DL & J DONALDSON, LUFKIN, & JENRETTE

US Serial Number: 88568430 Application Filing Aug. 06, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



DEAD/APPLICATION/Refused/Dismissed or Invalidated

This trademark application was refused, dismissed, or invalidated by the Office and this application is no longer active.

Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 04, 2020 Date Abandoned: May 12, 2020

Mark Information

Mark Literal DL & J DONALDSON, LUFKIN, & JENRETTE

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

• Brackets [..] indicate deleted goods/services;

• Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Filed No Basis: No

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No

Filed ITU: Yes

Currently ITU: Yes

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Currently No Basis: No

Current Owner(s) Information

Owner Name: Newburgh Capital Ltd.

Owner Address: New Horizon Building

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BELIZE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: MILES PHILLIP S.W., GOLDSON HIGHWAY

BELIZE CITY, BELIZE BELIZE

 $\textbf{Correspondent e-} \ \underline{\text{jt@jtsinghlaw.com}} \ \underline{\text{JT@MANNYSINGH.COM}}$

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
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Aug. 23, 2019	TEAS AMENDMENT ENTERED BEFORE ATTORNEY ASSIGNED	88889
Aug. 23, 2019	TEAS VOLUNTARY AMENDMENT RECEIVED	
Aug. 23, 2019	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Aug. 09, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: GADSON, SHARI B. Law Office LAW OFFICE 120

Assigned:

File Location

Current Location: TMO LAW OFFICE 120 - EXAMINING Date in Location: Jun. 04, 2020

Generated on: This page was generated by TSDR on 2020-09-30 17:59:54 EDT

Mark: BACHE & CO.

Bache & Co.

US Serial Number: 88568454 Application Filing Aug. 06, 2019

Date:

Filed as TEAS RF: Yes Currently TEAS RF: Yes

Register: Principal

Mark Type: Service Mark

wark Type: Service Mark

TM5 Common Status Descriptor:



DEAD/APPLICATION/Refused/Dismissed or Invalidated

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Status: Abandoned because the applicant failed to respond or filed a late response to an Office action. To view all documents in this file, click

on the Trademark Document Retrieval link at the top of this page.

Status Date: Jun. 04, 2020 Date Abandoned: May 12, 2020

Mark Information

Mark Literal BACHE & CO.

Elements:

Standard Character Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Claim:

Mark Drawing 4 - STANDARD CHARACTER MARK

Type:

Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Securities Lending; Financing Publicly Traded Securities

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Filed No Basis: No

Class Status: ACTIVE

Basis: 1(b)

Basis Information (Case Level)

Filed Use: No

Filed ITU: Yes

Currently ITU: Yes

Filed 44D: No

Currently 44E: No

Filed 44E: No

Currently 66A: No

Currently No Basis: No

Current Owner(s) Information

Owner Name: Newburgh Capital Ltd.

Owner Address: New Horizon Building

Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country BELIZE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: JT SINGH

Attorney Primary it@jtsinghlaw.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent JT SINGH

Name/Address: MILES PHILLIP S.W., GOLDSON HIGHWAY

BELIZE CITY, BELIZE BELIZE

Correspondent e- <u>jt@jtsinghlaw.com</u> <u>JT@MANNYSINGH.COM</u>

mail:

Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
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Jun. 04, 2020	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
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Aug. 09, 2019	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information

TM Attorney: GADSON, SHARI B. Law Office LAW OFFICE 120

Assigned:

File Location

Current Location: TMO LAW OFFICE 120 - EXAMINING Date in Location: Jun. 04, 2020